

HONG KONG SECURITIES SERVICES CHARGES

A. Trading Services

A1. <u>Buying and Selling</u> Brokerage Commission	(via phone)	As determined by mutual agreement between the servicing broker and client, Min. HK\$100.00
	(via Internet)	As determined by mutual agreement between the servicing broker and client, Min. HK\$50.00
Stamp Duty		0.1% of the consideration (rounded up to the nearest dollar)
Transaction Levy		0.005% of the consideration
Investor Compensation Levy		0.002% of the consideration
Trading Fee		0.005% of the consideration
Clearing Fee		0.002% of the consideration, Min. HK\$2.00/Max. HK\$100.00
Trading Tariff		Waived
Transfer Deed Duty (if necessary)		HK\$5.00 per board lot or per sheet

B. Settlement / Nominee / Custody Services

B1. <u>Deposit and Delivery of Shares</u>		
Deposit	1) Physical Scrip 2) Settlement Instruction "SI" 3) Investor Settlement Instruction "ISI"	Free Free Out of pocket (i.e. CCASS charges only)
Delivery	1) Physical Scrip 2) Settlement Instruction "SI" 3) Investor Settlement Instruction "ISI"	HK\$5.00 per board lot, Min. HK\$100.00 per order HK\$100.00 plus *CCASS charges for each SI HK\$10.00 plus *CCASS charges for each ISI
B2. <u>Nominee Services</u>		
Shares Registration	1) HKSCC Nominee 2) M H Nominee 3) Client Name	HK3.50 per board lot (Deemed Book Close HK\$1.25 per board lot) HK\$1.00 per board lot, Min. HK\$100.00 plus #share registrar charges HK\$1.00 per board lot, Min. HK\$100.00 plus #share registrar charges
Dividend Collection		0.5% of dividend amount, Min. HK\$25.00/Max. HK\$3,000.00
Bonus Issue		HK\$1.00 per board lot, Min. HK\$20.00
Exercise of Warrants, Covered Warrants		HK\$100.00 per transaction
Taking up of Rights Issue		HK\$100.00 per transaction
Cash Offer, Privatisation		0.25% of proceeds, Min. HK\$100.00/Max. HK\$2,000.00
Consolidation/Subdivision of Shares		HK\$0.20 per board lot, Min. HK\$10.00
Receipt of Corporation Communication		Free
B3. <u>Custody Service</u>		Free

C. Other Services

C1. <u>Account Operation</u>		
Account Opening		Free
Dormant Account		HK\$30.00 (charged in June 30 and in December 31)
- No trading transaction during the first six months (Jan-Jun) and the last six months (Jul-Dec) with securities holding/cash position at the period end		
C2. <u>Application of New Issue</u>		
Application Charge		Free (if the application amount equal to or greater than HK\$1,500,000) HK\$100.00 per application
C3. <u>CCASS Stock Segregated Account with Statement Service</u>		HK\$20.00 per month (including Hong Kong Clearing – CCASS charges)
C4. <u>Claim of Dividend and Bonus Share</u>		
Handling Fee		HK\$300.00 per stock per claim plus HK\$200.00 CCASS charges per claim per stock
Nominee Commission		0.5% of dividend amount, Min. HK\$25.00/Max. HK\$3,000.00
C5. <u>Confirmation of Stock / Cash Balance</u>		HK\$200.00 per transaction
C6. <u>Late Charge for Unsettled Transaction – overdue of 30 days (Cash A/C Only)</u>		HK\$50.00 per month (excluding financial charge)
C7. <u>Photocopy of Document (e.g. contract note)</u>		HK\$10.00 per copy
C8. <u>Retrieval Statement of Account</u>		HK\$50.00 per monthly statement
C9. <u>Returned Cheque Charge</u>		HK\$100.00 per transaction
C10. <u>Stamp Duties Endorsed Service</u>		
Stamp duty payment on transfer form / contract note		HK\$200.00 per transaction
C11. <u>Out-of-pocket Expense</u>		

e.g. Corporate Action, Bank Draft, etc.

Bill at cost incurred

* CCASS charges: HK\$1.00 for each input plus 0.002% of transaction value (stock quantity x the closing price of the last trading day) of a SI/ISI transaction (Min. HK\$2.00/Max. HK\$100.00). And if payment (DVP) is required for the SI/ISI, HK\$1.20 money settlement fee is added.

Share registrar charges: HK\$2.50 per sheet or per board lot.

Effective : July 7, 2003